



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

KINETIC INVESTMENT PARTNERS PTY LTD
AFS Licence Number 234674

Extracted from ASIC's database at AEST 12:05:05 on 09/01/2024

Current Details	
Name:	KINETIC INVESTMENT PARTNERS PTY LTD
Licence Number:	234674
Status:	Ceased
ABN:	28 009 568 496
Commenced:	29/01/2004
Ceased:	04/01/2024
Previous License Details - Pre FSR	
Role:	Securities Dealer
Licence number:	10855
Commenced:	07/02/1989
Addresses	
Principal Business Address:	
Licence Authorisation Conditions	
From:	07/08/2014
Details:	<p>1. This licence authorises the licensee to carry on a financial services business to:</p> <p>(a) provide general financial product advice only, for the following classes of financial products:</p> <p>(i) deposit and payment products limited to:</p> <p>(A) basic deposit products;</p> <p>(B) deposit products other than basic deposit products;</p> <p>(ii) derivatives;</p> <p>(iii) foreign exchange contracts;</p> <p>(iv) debentures, stocks or bonds issued or proposed to be issued by a government;</p> <p>(v) interests in managed investment schemes excluding investor directed portfolio services; and</p> <p>(vi) securities; and</p> <p>(b) deal in a financial product by:</p> <p>(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:</p> <p>(A) derivatives; and</p> <p>(B) foreign exchange contracts; and</p> <p>(ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:</p> <p>(A) deposit and payment products limited to:</p> <p>(1) basic deposit products;</p> <p>(2) deposit products other than basic deposit products;</p>



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- (B) derivatives;
 - (C) foreign exchange contracts;
 - (D) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (E) interests in managed investment schemes excluding investor directed portfolio services; and
 - (F) securities;
- to wholesale clients.

From: 01/03/2006

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice only, for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts;
 - (iv) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (v) interests in managed investment schemes excluding investor directed portfolio services; and
 - (vi) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or



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- proposed to be issued by a government;
- (D) interests in managed investment schemes excluding investor directed portfolio services; and
- (E) securities; and
- (c) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to wholesale clients.

From: 07/12/2005

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice only, for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts;
 - (iv) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (v) interests in managed investment schemes excluding investor directed portfolio services; and
 - (vi) securities; and
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;



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- (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- to wholesale clients.

From: 29/01/2004

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide financial product advice for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (ii) derivatives;
 - (iii) life products limited to:
 - (A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
 - (iv) interests in managed investment schemes including:
 - (A) investor directed portfolio services;
 - (v) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
 - (vi) securities; and
 - (vii) superannuation;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) derivatives;
 - (C) life products limited to:
 - (1) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or



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- more of its statutory funds;
- (D) interests in managed investment schemes including:
 - (1) investor directed portfolio services;
 - (E) securities; and
 - (F) superannuation; and
- (c) make a market for the following financial products:
 - (i) derivatives;to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.