



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

KINETIC INVESTMENT PARTNERS PTY LTD

AFS Licence Number 234674

Extracted from ASIC's database at AEST 12:05:05 on 09/01/2024

| Current Details | |
|------------------------------------|--|
| Name: | KINETIC INVESTMENT PARTNERS PTY LTD |
| Licence Number: | 234674 |
| Status: | Ceased |
| ABN: | 28 009 568 496 |
| Commenced: | 29/01/2004 |
| Ceased: | 04/01/2024 |
| Previous License Details - Pre FSR | |
| Role: | Securities Dealer |
| Licence number: | 10855 |
| Commenced: | 07/02/1989 |
| Addresses | |
| Principal Business Address: | |
| Licence Authorisation Conditions | |
| From: | 07/08/2014 |
| Details: | <ol style="list-style-type: none">1. This licence authorises the licensee to carry on a financial services business to:<ol style="list-style-type: none">(a) provide general financial product advice only, for the following classes of financial products:<ol style="list-style-type: none">(i) deposit and payment products limited to:<ol style="list-style-type: none">(A) basic deposit products;(B) deposit products other than basic deposit products;(ii) derivatives;(iii) foreign exchange contracts;(iv) debentures, stocks or bonds issued or proposed to be issued by a government;(v) interests in managed investment schemes excluding investor directed portfolio services; and(vi) securities; and(b) deal in a financial product by:<ol style="list-style-type: none">(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:<ol style="list-style-type: none">(A) derivatives; and(B) foreign exchange contracts; and(ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:<ol style="list-style-type: none">(A) deposit and payment products limited to:<ol style="list-style-type: none">(1) basic deposit products;(2) deposit products other than basic deposit products; |



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- (B) derivatives;
 - (C) foreign exchange contracts;
 - (D) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (E) interests in managed investment schemes excluding investor directed portfolio services; and
 - (F) securities;
- to wholesale clients.

From: 01/03/2006

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice only, for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts;
 - (iv) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (v) interests in managed investment schemes excluding investor directed portfolio services; and
 - (vi) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or



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- proposed to be issued by a government;
- (D) interests in managed investment schemes excluding investor directed portfolio services; and
- (E) securities; and
- (c) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to wholesale clients.

From: 07/12/2005

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice only, for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts;
 - (iv) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (v) interests in managed investment schemes excluding investor directed portfolio services; and
 - (vi) securities; and
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;



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- (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- to wholesale clients.

From: 29/01/2004

Details:

1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide financial product advice for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (ii) derivatives;
 - (iii) life products limited to:
 - (A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
 - (iv) interests in managed investment schemes including:
 - (A) investor directed portfolio services;
 - (v) retirement savings accounts ("RSA") products (within the meaning of the Retirement Savings Account Act 1997);
 - (vi) securities; and
 - (vii) superannuation;
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) derivatives;
 - (C) life products limited to:
 - (1) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or



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- more of its statutory funds;
- (D) interests in managed investment schemes
including:
 - (1) investor directed portfolio services;
 - (E) securities; and
 - (F) superannuation; and
- (c) make a market for the following financial
products:
 - (i) derivatives;
- to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.